

Docket 81042N-R
Customer No. 01333

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Thomas P. Szumla

METHOD AND ELECTRONIC
APPARATUS FOR FORMATTING
AND SERVING INKJET IMAGE
DATA

Serial No. UNKNOWN

Filed concurrent herewith

Commissioner for Patents
Washington, D.C. 20231

Group Art Unit:

Examiner:

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Date

December 20, 2000

JC688 U.S. PTO
09/745027



Sir:

INFORMATION DISCLOSURE STATEMENT FOR CONSIDERATION
BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99

Enclosed herewith are patents and/or publications for consideration by the Patent and Trademark Office in regard to the invention claimed in the above-described application. In compliance with §1.56, such documents are listed in the enclosed Form PTO-1449.

Applicants request that the Patent and Trademark Office make of record the above-identified documents. Unless otherwise indicated, a full text copy of each document is attached. For documents not in English, an English translation or an equivalent English language patent or publication is attached. Where a translation is not available, a concise explanation of the relevance of each document not in English is included either here or in the specification.

This Information Disclosure Statement is submitted according to the following selected paragraph:

- I. ☒ This Statement is being filed under §1.97(b) within three months of the filing date of the application (other than a CPA), or before the first Office action on the merits or before mailing of a first Office action after the filing of a request for continued examination.
- II. ☐ This Statement is being filed under §1.97(c), with fee, **prior** to either a final action, a notice of allowance or an that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account Number 05-0225. A duplicate copy of this Statement is enclosed.

III. ☐ This Statement is being filed under §1.97(c), with a statement under, §1.97(e) **prior** to either a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):

☐ each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

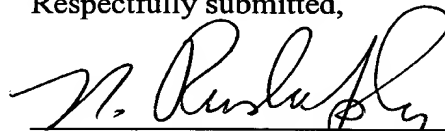
☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification statement under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

IV. ☐ This Statement is being filed under §1.97(d), with petition and statement under §1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. The undersigned hereby petitions that this Statement be considered prior to issuance of the patent. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account No. 05-0225. A duplicate copy of this Statement is enclosed. The undersigned hereby states that (check one):

☐ each item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification Statement under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

Respectfully submitted,



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Enclosures

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|---|--|---|--|------------|--|
| FORM PTO-1449 US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | Atty. Docket No. 81042N-R Customer No. 01333 | | Serial No. | |
| If AFTER the later date of the first Office Action or 3 months from filing, use only with Rule 97(E) Certificate or Fee | | Applicant: Thomas P. Szumla | | | |
| LIST OF ART CITED BY APPLICANT (Use several sheets if necessary) | | Filing Date December , 2000 | | Group | |

J0688 U.S. PTO
 09/14/5022
 12/26/00

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|-----------------|------------|---------------|-------|----------|-------------------------------|
| Examiner Initial* | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| | 4,562,537 | 12/31/1985 | Barnett et al | | | |
| | 4,648,045 | 03/03/1987 | Demetrescu | | | |
| | 5,237,655 | 08/17/1993 | Statt et al | | | |
| | 5,262,875 | 11/16/1993 | Mincer et al | | | |
| | 5,740,388 | 04/14/98 | Hunt | | | |
| | 5,689,313 | 11/18/1997 | Sotheran | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-----------------|------------|------------------------|-------|----------|--------------------------------|
| Examiner Initial* | EP 0 938 139 A2 | 08/25/1999 | Sharp Kabushiki Kaisha | CLASS | SUBCLASS | <u>TRANSLATION</u> YES NO |

| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | |
|--|-----------------|
| EXAMINER | DATE CONSIDERED |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.